Nanoscratching of multi-layer graphene by molecular dynamics simulations

Qi Zhang a,b, Dongfeng Diao a,*, Momoji Kubo c

a Institute of Nanosurface Science and Engineering, Shenzhen University, Shenzhen 518060, China
b Key Laboratory of Education Ministry for Modern Design and Rotor-Bearing System, School of Mechanical Engineering, Xi’an Jiaotong University, Xi’an 710049, China
c Fracture and Reliability Research Institute, Graduate School of Engineering, Tohoku University, Sendai 980-8579, Japan

A R T I C L E   I N F O
Article history:
Received 12 June 2014
Received in revised form 30 February 2015
Accepted 2 March 2015
Available online 10 March 2015

Keywords:
Graphene Layers
Friction Coefficient
Cross-linking
MD

A B S T R A C T
Graphene or graphene-based materials are becoming a glowing material to be expected to control a frictional behavior at contact interface. However, due to its atomic layer to layer structure, it is difficult to clarify its frictional mechanism through experimental observation. Therefore, in this paper the frictional behavior of diamond tip nanoscratching on multi-layer graphene was investigated by Molecular Dynamics (MD) simulation. Results show superlow frictional behavior of graphene layers when the scratch depth is less than 5.3 Å, when the scratch depth is over this value, the friction coefficient increases at least 10 times which is caused by phase transition of graphene layers. Besides, we discussed the sensitivity of friction coefficient to the shapes of scratch tip and its anisotropy.

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1. Introduction

Since graphene has been experimentally demonstrated to be one of the strongest materials so far [1–3], it is motivated to play a wider role in physical, chemical and mechanical applications. In these applications, the contact phenomena are generally inevitable. Therefore, the frictional behaviors of graphene should be better understood. Nanoscratching is an essential method to characterize the material’s frictional behaviors at nanoscale. By AFM scratching, Choi et al. revealed the exfoliated graphene friction anisotropy due to the domains produced during the manufacturing process [4]. The friction force of graphene was found much smaller than that on the Si/SiO2 substrates, and the bound strength to the substrate had influences on friction [5]. Graphene also exhibited lattice stick–slip motion with friction coefficient of 0.03, which increased as the number of layers decreased [6]. The hydrogenated carbon film with dispersed multilayer graphene demonstrated ultra-elastic recovery and low friction coefficient contrast with film that did not contain graphene [7]. By now, these scratching experiments got important progress and revealed the in-situ tribological responses of graphene in terms of friction forces and coefficients. Due to the special atomic-scale layered structure and the limitation of instrument precision, it is difficult to figure out the fundamental frictional mechanisms of graphene by experiments. On the other hand, computational calculation is accessible to the detailed atomic-scale behavior [8–10]. Numerical calculation including molecular dynamics (MD) avoids the noise and turbulence easily introduced into the nanometer scale experiments, having become indispensable methods to investigate the atomic dynamics and mechanical characteristics [11,12]. Various reports investigated the strength and defect energy of graphene or functional graphene materials. By Density Functional Theory (DFT)-based calculations, Kotakoski et al. demonstrated the electron beam energy below 50 keV could minimize the knock-on damage of graphene edges during a transmission electron microscope analysis [13]. 2D single layer fluorinated graphene exhibits more friction with less compliance and indicates the flexural phonons could be a main source for friction energy dissipation [14]. These works mainly studied the single layer graphene properties. However, in multilayer graphene, the phase transformation or defects have great influence on the mechanical properties of graphene [15]. In our previous work, we also proposed that phase transformation of four-layer graphene affects its scratch hardness [16]. Reguzzoni et al. calculated friction as a function of the film thickness during the a tip sliding against graphene film; it was found that the out-of-plane deformation of the graphene sheets increased when the number of graphene layers increased, both the vertical and the lateral contact stiffnesses increased by decreasing the number of layers [17]. Smolyanitsky et al. simulated a capped SWCNT sliding over a suspended graphene sheet: the relationship between the friction of graphene and the normal force
was clarified [18]. However, until now, few studies took attention to the phase transformation’s effect on the frictional behavior of graphene. In this paper, we clarified the frictional mechanism of graphene by analyzing the relationship between graphene phase transformations and the frictional behaviors by MD study. Furthermore, we investigated the dependence of friction coefficient on scratch depth, tip shape, and scratch direction.

2. Molecular dynamics model

In the simulation, the second generation of reactive empirical bond order (REBO) potential [19] was adopted to describe the intralayer graphene interactions as well as the interactions in the diamond tip via LAMMPS software [20]. This potential is derived from ab initio calculation, and is well suitable to classical molecular dynamics simulation of carbon system. It is in the formation similar to a pairwise dispersion-repulsion potential.

\[ U = \sum_{i>j} \left( V^A(r_{ij}) - b_i V^A(r_{ij}) \right) \]

where \( V^A(r_{ij}) \) represents the attractive interactions. The distance between pairs of nearest-neighbor atoms \( i \) and \( j \) is expressed by \( r_{ij} \).

The bond-order function \( b_i \) can deal with many-body effects and is capable to calculate the formation and cracking of covalent bonds [8]. Because the bond-order function \( b_i \) not only contains dihedral angle interaction weighing the bond strength, but also describes radicals and conjugate or non-conjugate structure of carbon, as well as rotation barrier which prevents unrealistic bond rotation from occurring. The forces between the tip and graphene atoms are determined by the Lennard-Jones (LJ) potential [21], in which the equilibrium distance is 3.4 Å [22].

Fig. 1 is the MD nanoscratching simulation model of graphene. A hemispherical diamond tip with radius of 25 Å is initially indented four-layer graphene at a certain depth. After 50,000 steps relaxation, the tip scratches graphene with a speed of 5 m/s. Each graphene layer contains 3200 atoms with the size of 98 Å × 98 Å. The two boundary atoms around each graphene layer are fixed. The diamond tip is also rigid to avoid atom transferring between the tip and graphene layers. The other part of graphene is free atoms. The system is consistent with NVE microcanonical ensemble. Within this ensemble, the number of atoms \( N \), the system volume \( V \), and the energy \( E \) are conserved to ensure that the total energy is constant. The initial velocity was generated randomly. The equations of particles motion were solved using the Verlet algorithm, and the simulation time step is 1 fs, which is adequate for system relaxation by examining the stability through the root mean square deviations of the atoms.

In order to validate the model, the hardnesses of the four-layer graphene as a function of the indentation depth were calculated. Fig. 2 shows the hardness versus indentation depth curves of the four-layer graphene simulated by our model and Guo et al.’s model [23]. It can be seen the two results coincide quite well. Here, we need to mention that, in Guo et al.’s model, the intermolecular interactions was considered, which was not included in the REBO potential in our model. But the coincidence of the two results suggests the intermolecular interactions may be neglected in our model. In addition, it can be seen in Fig. 2 that the hardness of the graphene reaches a peak value when the indentation depth increases to 5.8 Å, and after the depth, the hardness presents an unstable behavior, showing a declining trend, this implies that the effect of outermost rigid layer on the hardness is not large. Furthermore, for the cases of horizontal force study, the influence of the rigid layer may be smaller. Therefore, we used the model as shown in Fig. 1 for studying the nanoscratching of multi-layer graphene.

3. Results and discussion

Fig. 3(a) shows the variation of friction coefficient with the sliding distance under different scratch depths. When the depth are 4.48 Å and 5 Å, shown as the black and red curve, both the average friction coefficients are around zero, and the curve is very

![Fig. 1. MD nanoscratching model of graphene.](image1)

![Fig. 2. Comparison of the hardness versus indentation depth curves of the four-layer graphene simulated by our model and Guo et al.’s model.](image2)

![Fig. 3. (a) Under four scratch depths, the friction coefficient of the tip varying with the sliding distance. (b) and (c) The structure of graphene corresponding to scratch depth of 4.48 Å, 5.3 Å and 5.8 Å before scratch and at scratch distance of 13 Å respectively. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)](image3)
smooth with sine periodicity. The period is about 2.45 Å, similar to the crystal parameter of graphene. The friction coefficient increases when the scratch distance is over 25 Å, this is because the tip is gradually reaching graphene’s boundary causing increase of friction forces. As the scratch depth goes up to 5.3 Å, friction coefficient increases, and it keeps periodic tendency with much larger fluctuating amplitude.

After the scratching process, the previous cross-linking structure recovers (Fig. 3(c) II). And the average coefficient is about 0.02, in good agreement to the experiment result [6]. Thus if the scratch depth is below 5.3 Å, graphene exhibits super low frictional behavior. But when the depth is 5.8 Å, friction coefficient increases to maximum 0.2, no longer showing super low friction. And the corresponding structure is shown in Fig. 3(b) I and (c) I. Thus scratch depth only increases 0.5 Å, but frictional behavior becomes worse a lot, 10 times increase in friction coefficient.

From the structure variation tendency shown in Fig. 3, it can be intuitively judged that more quantity of cross-linking leads to higher friction coefficient. And we made the analysis about the number of the cross-linking between neighbor-layer graphene varying with scratch distance under 5.0 Å, 5.3 Å and 5.8 Å scratch depth, shown in Fig. 4(a). The number of cross-linking always keeps at zero under 5.0 Å scratch depth, which is in agreement with the scratching simulation process. Under the critical scratch depth of 5.3 Å, cross-linking number is around 13 as the hemispherical tip passing by. It increases linearly with the scratch distance when the scratch depth is 5.8 Å. After the tip moving away, most of the cross-linking remains. The number of cross-linking accumulates and finally increases to 200.

During the scratch process, it is necessary to have further exploration about the effect of the bonding components of the graphene atoms which generate cross-linking (these atoms are named “cross-linking atoms” for short) on the frictional behavior. By calculating the coordination number of cross-linking atoms, the quantity of sp² and sp³ can be obtained. And it is found that the coordination number of all the cross-linking atoms is 3 or 4, which means bonding components are sp² or sp³. As a result, it only needs to show the variation of sp³ content of the cross-linking atoms to the sliding distance (Fig. 4(b)). The amount of sp³ variation has the same trend to that of cross-linking. sp³ number is zero when the scratch depth is 5.0 Å, 0 to 3 as 5.3 Å scratch depth, and 17 at maximum when the depth is 5.8 Å. It is found that when the depth is below 5.3 Å, graphene structure keeps intact, and most cross-linking atoms are in sp² hybridization state. In comparison, when the depth is over 5.8 Å, graphene becomes amorphous, and most cross-linking atoms are in sp³ hybridization state. That means in order to produce cross-linking between neighbor layers, most intralayer graphene will be broken down, and form dangling bond, meanwhile produce new cross-linking between neighbor layers. In this way, the bond broken in inter-layer will release energy. At the same time, bond formation will also affect the energy of the system, leading to the fluctuation of the friction force and the increase of the friction coefficient

Besides, we studied the friction coefficient sensitivity to the shape of scratch tip and its anisotropy. Fig. 5 represents the sensitivity of graphene’s friction coefficient to different shapes of tips. Under different scratch depths, the friction coefficient of relatively stable period by four kinds of tips is taken to calculate the mean arithmetical values. Then, we got the variation rules of friction coefficient with various tip shapes. When the scratch depth is below 5.0 Å, the average friction coefficients using the four kinds of tips are around 0.007–0.008, revealing the ultralow friction characteristic of graphene. All the average friction coefficients increase to around 0.01 as the scratch depth is 5.3 Å. The average friction coefficient becomes very sensitive to the tip shape when scratch depth is 5.8 Å. The friction coefficient is highest using the triangular-behind tip and the value is about 0.92. The second highest coefficient is 0.71 by triangular-front tip. What’s more, it is noticed that regardless of the scratch depth, the average friction coefficient by the hemispherical tip is always comparatively the lowest. Overall, we can conclude that over the critical scratch depth, the display of frictional behavior is depended much more on the tip shape. These give guidance to the nanoscratching...
experiments to turn to more delicate nanoscale instruments in which the scratch depth should be strictly controlled. The anisotropy of the frictional behavior is also studied. The average friction coefficients differ with four scratch directions by hemispherical tip is shown in Fig. 6. The effect of the critical scratch depth is very important. Along the four scratch directions, the average friction coefficients are all around 0.001 orders of magnitude when the scratch depth is below the critical scratch depth. Conversely, the friction coefficient shows anisotropy when the depth is 5.8 Å, and decreases with the increasing angle.

4. Conclusions

We carried out molecular dynamics simulation of nanoscratch- ing on multilayer graphene using diamond tip. In particular, the dependences of friction properties on the scratch depth, tip shape, and scratch direction were studied. We found that the frictional property of graphene distinguished under different scratch depths. The friction coefficients vary with smooth sine periodicity to the scratch distance, and its average value is about zero when the scratch depth is 4.48 Å or 5.0 Å. Deeper scratch depth leads to the occurrence of cross-linking between graphene neighbor layers. When the depth is 5.3 Å, the produced cross-linking disappears after scratch, the friction coefficient fluctuates more seriously, but its average value is still in super low friction regime. As the scratch depth up to 5.8 Å, numerous cross-linking occurs, and graphene partly turns to amorphous structure. As a result, the friction coefficient increases at least 10 times. It is found that the bonding components of the cross-linking atoms are almost sp², implying the bond broken in intralayer graphene as well as bond formation between neighbor layer during scratch. This affects the energy of graphene, and has great influence on the friction coefficient. Moreover, friction coefficient exhibits more sensitivity to tip shape and significant anisotropy once the scratch depth is 5.8 Å. Regardless of the scratch depth, the average friction coefficient by the hemispherical tip is comparatively the lowest. The frictional behavior is depended much more on the tip shape when the scratch depth is over the critical scratch depth. Based on the graphene structure transformation, it is clarified that the frictional behavior are determined by the generation of cross-linking between graphene neighbor layers.

Acknowledgment

The authors sincerely thank the National Natural Science Foundation of China under Grant numbers of 51175405 and 91323303. Thank to the support of China Scholarship Council.

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